

Union Calendar No. 496

107TH CONGRESS }
2d Session

HOUSE OF REPRESENTATIVES

{ REPORT
107-795

COMMITTEE ON APPROPRIATIONS HOUSE OF REPRESENTATIVES

R E P O R T

OF

COMMITTEE ACTIVITIES ONE HUNDRED SEVENTH CONGRESS

JANUARY 3, 2001

THROUGH

NOVEMBER 22, 2002

Pursuant to Clause 1(d) of Rule XI



JANUARY 2, 2003.—Committed to the Committee of the Whole House on
the State of the Union and ordered to be printed

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WASHINGTON : 2003

COMMITTEE ON APPROPRIATIONS
HOUSE OF REPRESENTATIVES
(107th Congress)

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LETTER OF TRANSMITTAL

JANUARY 2, 2003

Hon. J. DENNIS HASTERT,
The Speaker,
U.S. House of Representatives,
Washington, DC.

DEAR MR. SPEAKER: I am pleased to transmit herewith a report on the activities of the Committee on Appropriations during the 107th Congress, pursuant to Clause 1(d) of Rule XI of the Rules of the House of Representatives.

With best regards,
Sincerely,

C. W. BILL YOUNG,
Chairman.

(III)

Union Calendar No. 496

107TH CONGRESS } 2d Session }	HOUSE OF REPRESENTATIVES {	REPORT 107-795
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REPORT ON ACTIVITIES OF THE COMMITTEE ON APPROPRIATIONS DURING THE 107TH CONGRESS

JANUARY 2, 2003.—Committed to the Committee of the Whole House on the State
of the Union and ordered to be printed

Mr. YOUNG of Florida, from the Committee on Appropriations,
submitted the following

REPORT

The Committee on Appropriations is the principal arm through which the House of Representatives exercises its constitutional responsibility to provide funds for the operations of the various activities of the Federal Government. Clause 1(b) of Rule X of the House provides that the Committee shall have jurisdiction over the “Appropriation of the revenue for the support of the Government.” This responsibility has basically been vested in the Committee since 1865.

The Committee has been established by the House with a membership of 65 during the 107th Congress. With relatively few exceptions, the responsibilities of the Committee are carried out through its 13 Subcommittees which in turn report to the full Committee. The Subcommittees are organized essentially on a functional basis with recognition of the existing structure of the Departments and agencies within the Executive Branch. (The jurisdictional assignments of Subcommittees during the 107th Congress are displayed in Appendix C.)

SUMMARY OF APPROPRIATIONS ACTIONS

The response to the events of September 11, 2001, shaped much of the Committee's activities during the 107th Congress. Congress reacted immediately to the terrorist attacks by passing, on September 14, 2001, an appropriations bill establishing a \$40 billion emergency response fund. This bill provided for transfers from the fund for the purposes of recovering from the attacks and fighting the war on terrorism. The President was authorized to transfer \$20 billion, and Congress passed an appropriations bill on December 20, 2001, transferring the remaining \$20 billion to specific programs. In addition, on August 2, 2002, the President signed a \$30 billion fiscal year 2002 supplemental appropriations bill, which provided funds predominantly for further recovery, homeland security, and defense activities.

With respect to the Committee's regular appropriations activities, the 107th Congress concluded with much of the government operating under a continuing resolution for fiscal year 2003. Since Congress was unable to agree to a bicameral FY 2003 budget resolution, the appropriations process was unable to proceed in regular order. The Appropriations Committee reported to the House eleven fiscal year 2003 bills, and the Chairman introduced a twelfth bill. Five of these bills passed the House, but seven bills were not scheduled for floor consideration. Of the thirteen annual appropriations bills, only two bills, Defense and Military Construction, were signed into law during the 107th Congress. All regular fiscal year 2002 appropriations bills were completed by the end of calendar year 2001, and these were completed as Congress worked to respond to the terrorist attacks and the anthrax scares that occurred that fall.

In the regular appropriations bills, the Appropriations Committee continued to hold the line on unnecessary spending while preserving and even increasing spending for important national priorities. Some of these priorities included: homeland security (\$17.4 billion in three supplemental appropriations bills); medical research at the National Institutes of Health (increased 15 percent in fiscal year 2002); national defense (the Defense bill increased \$19.2 billion from fiscal year 2001 to fiscal year 2002 and \$37.5 billion from fiscal year 2002 to fiscal year 2003, excluding supplemental appropriations); improved education (provided \$48.9 billion for education programs, \$4.4 billion above the President's request, for fiscal year 2002); veterans health care (increased \$1.1 billion from fiscal year 2001 to fiscal year 2002); drug interdiction (increased the Drug Enforcement Agency \$122 million from fiscal year 2001 to fiscal year 2002); and the child survival and disease programs fund (increased \$371 million from fiscal year 2001 to fiscal year 2002), and land conservation (increased \$121 million from fiscal year 2001 to fiscal year 2002).

It is the Committee's expectation that the remaining fiscal year 2003 appropriations bills will be completed early in the 108th Congress.

The Committee placed a high priority on its oversight responsibilities, during the 107th Congress. The 13 Subcommittees conducted 365 days of hearings, receiving testimony from 4,014 witnesses. In the process, 160 volumes of hearings were generated, comprising 174,748 printed pages. The Committee also initiated or completed 47 reports from its Surveys and Investigations Staff and had underway or completed an additional 186 reports from the General Accounting Office during the 107th Congress.

The following is a tabular display of the appropriations actions of the Committee during the 107th Congress indicating the various bill numbers, dates, report numbers, amounts, and public law numbers:

HISTORY OF FISCAL YEAR 2002 APPROPRIATIONS ACTS

Bill Number Subcommittee	House		Senate		Conference		Public Law
	House Report No. Date Reported	Vote Total Date Passed	Senate Report No. Date Reported	Vote Total Date Passed	House Report No. Date Filed	Vote Total Date Passed House	Public Law No. Date Approved
H.R. 2330 /S. 1191 Agriculture	107-116 June 27	414-16 July 11	107-41 July 18	91-5 Oct. 25	107-275 Nov. 9	379-33 Nov. 13	107-76 Nov. 28
H.R. 2500 /S. 1215 Commerce-Justice-State	107-139 July 13	408-19 July 18	107-42 July 20	97-0 Sept. 13	107-278 Nov. 9	411-15 Nov. 14	107-77 Nov. 28
H.R. 3338 (¹) Defense	107-298 Nov. 19	406-20 Nov. 28	107-109 Dec. 4	viva voce Dec. 7	107-350 Dec. 19	408-6 Dec. 20	107-117 Jan. 10
H.R. 2944 /S. 1543 District of Columbia	107-216 Sept. 24	327-88 Sept. 25	107-85 Oct. 15	75-24 Nov. 7	107-321 Dec. 5	302-84 Dec. 6	107-96 Dec. 21
H.R. 2311 /S. 1171 Energy and Water Development	107-112 June 26	405-15 June 28	107-39 July 12	97-2 July 19	107-258 Oct. 30	399-29 Nov. 1	107-66 Nov. 12
H.R. 2506 Foreign Operations	107-142 July 17	381-46 July 24	107-58 Sept. 4	96-2 Oct. 24	107-345 Dec. 19	357-66 Dec. 19	107-115 Jan. 10
H.R. 2217 Interior	107-103 June 19	376-32 June 21	107-36 June 29	viva voce July 12	107-234 Oct. 11	380-28 Oct. 17	107-63 Nov. 5
H.R. 3061 /S. 1536 Labor-HHS-Education	107-229 Oct. 9	373-43 Oct. 11	107-84 Oct. 11	89-10 Nov. 6	107-342 Dec. 18	393-30 Dec. 19	107-116 Jan. 10
H.R. 2647 /S. 1172 Legislative	107-169 July 26	380-38 July 31	107-37 July 12	viva voce July 31	107-259 Oct. 30	374-52 Nov. 1	107-68 Nov. 12
H.R. 2904 /S. 1460 Military Construction	107-207 Sept. 20	401-0 Sept. 21	107-68 Sept. 25	97-0 Sept. 26	107-246 Oct. 16	409-1 Oct. 17	107-64 Nov. 5
H.R. 2299 /S. 1178 Transportation	107-108 June 22	426-1 June 26	107-38 July 13	viva voce Aug. 1	107-308 Nov. 29	371-11 Nov. 30	107-87 Dec. 18
H.R. 2590 /S. 1398 Treasury-Postal Service	107-152 July 23	334-94 July 25	107-57 Sept. 4	viva voce Sept. 19	107-253 Oct. 26	339-85 Oct. 31	107-67 Nov. 12
H.R. 2620 /S. 1216 VA-HUD-Independent Agencies	107-159 July 25	336-89 July 31	107-43 July 20	94-5 Aug. 2	107-272 Nov. 6	401-18 Nov. 8	107-73 Nov. 26

¹ Fiscal year 2002 Supplemental Appropriations included as Division B of Defense Bill, H.R. 3338, House Report 107-298.

2002 APPROPRIATIONS

[Dollars in millions]

Fiscal Year 2002 Bills	President's Request		2002 Bills		Bills vs. President's Request	
	Discretionary	Mandatory	Discretionary ¹	Mandatory	Discretionary	Mandatory
Agriculture (P.L. 107-76)	\$15,409	\$58,885	\$16,018	\$59,885	\$609	\$1,000
Commerce-Justice-State (P.L. 107-77)	37,942	627	38,656	627	714
Defense (P.L. 107-117)	319,130	267	317,207	267	- 1,923
District of Columbia (P.L. 107-96)	359	408	49
Energy and Water (P.L. 107-66)	22,518	24,596	2,078
Foreign Operations (P.L. 107-115)	15,168	45	15,346	45	178
Interior (P.L. 107-63)	18,127	63	19,120	63	993
Labor, HHS, Education (P.L. 107-116) ²	135,053	272,554	123,371	272,553	- 11,682	- 1
Legislative (P.L. 107-68)	2,962	99	2,974	99	12
Military Construction (P.L. 107-64)	9,971	10,500	529
Transportation (P.L. 107-87) ³	16,240	876	15,300	876	- 940
Treasury, Postal Service (P.L. 107-67)	16,681	15,690	17,069	15,690	388
VA-HUD (P.L. 107-73)	83,363	27,305	85,434	27,305	2,071
Subtotal, Regular FY 2002 Bills	692,923	376,411	685,999	377,410	- 6,924	999
Emergency Supplemental Act, 2002 (P.L. 107-117)	20,000	20,000
Total, FY 2002 Bills	712,923	376,411	705,999	377,410	- 6,924	999
Fiscal Year 2001 Supplementals and Rescissions						
Supplemental Appropriations Act, 2001 (P.L. 107-20)	6,544	936	6,543	936	- 1
Terrorism Supplemental, 2001 (P.L. 107-38) ⁴	40,000	40,000

¹ Includes emergency appropriations totaling \$22,200 million for fiscal year 2002.

² Labor-HHS-Education request includes \$18.9 billion in funds that are normally advance appropriated. The President proposed that advance appropriations be provided as regular appropriations.

³ Transportation does not include \$1.349 billion in transit budget authority counted as obligation limitations for the purpose of section 302 budget allocation enforcement.

⁴ \$20 billion of the \$40 billion total appropriation was not available until subsequent congressional action. This \$20 billion was made available in P.L. 107-117 (See above).

FISCAL YEAR 2001 SUPPLEMENTALS
1ST SESSION—107TH CONGRESS

- H.R. 2216—Making supplemental appropriations for the fiscal year ending September 30, 2001, and for other purposes. Approved July 24, 2001 (P.L. 107–20)
- H.R. 2888—Making emergency supplemental appropriations for fiscal year 2001 for additional disaster assistance, for anti-terrorism initiatives, and for assistance in the recovery from the tragedy that occurred on September 11, 2001, and for other purposes. Approved September 18, 2001 (P.L. 107–38)

FISCAL YEAR 2002 CONTINUING RESOLUTIONS
1ST SESSION—107TH CONGRESS

- H.J. Res. 65—Making continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period October 1 thru October 16, 2001. Approved September 28, 2001 (P.L. 107–44)
- H.J. Res. 68—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period October 16 thru October 23, 2001. Approved October 12, 2001 (P.L. 107–48)
- H.J. Res. 69—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period October 23 thru October 31, 2001. Approved October 22, 2001 (P.L. 107–53)
- H.J. Res. 70—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period October 31 thru November 16, 2001. Approved October 31, 2001 (P.L. 107–58)
- H.J. Res. 74—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period November 16 thru December 7, 2001. Approved November 17, 2001 (P.L. 107–70)
- H.J. Res. 76—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period December 7 thru December 15, 2001. Approved December 7, 2001 (P.L. 107–79)
- H.J. Res. 78—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period December 15 thru December 21, 2001. Approved December 13, 2001 (P.L. 107–83)
- H.J. Res. 79—Making further continuing appropriations for fiscal year 2002. Provided funding for various government activities for the period December 21, 2001 thru January 10, 2002. Approved December 21, 2001 (P.L. 107–97)

FISCAL YEAR 2002—SUPPLEMENTAL APPROPRIATIONS

DIVISION B—H.R. 3338

Fiscal Year 2002 Supplemental Appropriations included as Division
B of Defense Bill, H.R. 3338, House Report 107-298,
Conference Report 107-350, P.L. 107-117

HISTORY OF FISCAL YEAR 2003 APPROPRIATIONS ACTS

Bill Number Subcommittee	House		Senate		Conference		Public Law
	House Report No. Date Reported	Vote Total Date Passed	Senate Report No. Date Reported	Vote Total Date Passed	House Report No. Date Filed	Vote Total Date Passed House	Public Law No. Date Approved
H.R. 5263/S. 2801 Agriculture	107-623 July 26	107-223 July 25	107-	107-
H.R./S. 2778 Commerce-Justice-State	107-	107-218 July 24	107-	107-
H.R. 5010 Defense	107-532 June 25	413-18 June 27	107-213 July 18	95-3 Aug. 1	107-732 Oct. 9	409-14 Oct. 10	107-248 Oct. 23
H.R. 5521/S. 2809 District of Columbia	107-716 Oct. 2	107-225 July 26	107-	107-
H.R. 5431/S. 2784 Energy and Water Development	107-681 Sept. 24	107-220 July 24	107-	107-
H.R. 5410/S. 2779 Foreign Operations	107-663 Sept. 19	107-219 July 24	107-	107-
H.R. 5093/S. 2708 Interior	107-564 July 11	377-46 July 17	107-201 June 28	107-	107-
H.R./S. 2766 Labor-HHS-Education	107-	107-216 July 22	107-	107-
H.R. 5121/S. 2720 Legislative	107-576 July 15	365-49 July 18	107-209 July 11	85-14 July 25	107-	107-
H.R. 5011/S. 2709 Military Construction	107-533 June 25	426-1 June 27	107-202 July 3	96-3 July 18	107-731 Oct. 9	419-0 Oct. 10	107-249 Oct. 23
H.R. 5559/S. 2808 Transportation	107-722 Oct. 7	107-224 July 26	107-	107-
H.R. 5120/S. 2740 Treasury-Postal Service	107-575 July 15	308-121 July 24	107-212 July 17	107-	107-
H.R. 5605/S. 2797 VA-HUD-Independent Agencies	107-740 Oct. 10	107-222 July 25	107-	107-

2003 APPROPRIATIONS

[Dollars in millions]

Fiscal Year 2003 Bills ¹	President's Request		2003 Bills		Bills vs. President's Request	
	Discretionary ²	Mandatory	Discretionary ³	Mandatory ³	Discretionary	Mandatory
Agriculture	\$17,421	\$56,641	\$17,601	\$56,705	\$180	\$64
Commerce-Justice-State	41,798	649	N/A	N/A
Defense (P.L. 107-248) ⁴	360,671	267	354,830	278	- 5,841	11
District of Columbia	386	517	131
Energy and Water	25,877	26,027	150
Foreign Operations	16,471	45	16,549	45	78
Interior	19,460	62	19,730	62	270
Labor, HHS, Education	131,862	289,838	130,902	290,158	- 960	320
Legislative ⁵	3,584	100	2,674	83	- 910	- 17
Military Construction (P.L. 107-249)	9,703	10,499	796
Transportation ⁶	21,238	889	20,858	889	- 380
Treasury, Postal Service	18,708	16,586	18,500	16,586	- 208
VA-HUD	93,403	31,577	91,020	31,577	- 2,383
Total, FY 2003 Bills	760,582	396,654	709,707	396,383	- 50,875	- 271
Fiscal Year 2002 Supplementals and Rescissions						
Supplemental, Further Recovery and Response to Terrorist Attacks, 2002 (P.L. 107-206)	29,513	1,100	30,011	1,100	498

¹ All FY 2003 bills except Defense and Military Construction are funded under a continuing resolution (P.L. 107-294) through January 11, 2003.

² President's request includes \$9 billion for the accrual accounting proposal.

³ Amounts for all FY 2003 bills except Defense, Military Construction, Commerce-Justice-State, and Labor-HHS-Education are House-reported or House-passed amounts. Defense and Military Construction are enacted amounts. Labor-HHS-Education is the amount scored to the bill introduced by the Committee Chairman. There was no Commerce-Justice-State bill in the 107th Congress.

⁴ President's Request for Defense excludes \$9 billion representing the unused portion of the Defense Emergency Response Fund. Of the original \$10 billion fund, \$1 billion was included in the final bill.

⁵ The House-passed Legislative Branch bill does not include amounts for Senate items. These amounts will be included in the final conference agreement on the bill.

⁶ Transportation includes \$1.445 billion in transit budget authority that is not scored in the House for the purpose of section 302 budget allocation enforcement.

N/A = Not available.

FISCAL YEAR 2002 SUPPLEMENTALS
2D SESSION—107TH CONGRESS

H.R. 4775—Making supplemental appropriations for further recovery from and response to terrorist attacks on the United States for the fiscal year ending September 30, 2002, and for other purposes. Approved August 2, 2002 (P.L. 107–206)

FISCAL YEAR 2003 CONTINUING RESOLUTIONS
2D SESSION—107TH CONGRESS

- H.J. Res. 111—Making continuing appropriations for fiscal year 2003. Provided funding for various government activities for the period October 1 thru October 4, 2002. Approved September 30, 2002 (P.L. 107–229).
- H.J. Res. 112—Making further continuing appropriations for fiscal year 2003. Provided funding for various government activities for the period October 4 thru October 11, 2002. Approved October 4, 2002 (P.L. 107–235).
- H.J. Res. 122—Making further continuing appropriations for fiscal year 2003. Provided funding for various government activities for the period October 11 thru October 18, 2002. Approved October 11, 2002 (P.L. 107–240).
- H.J. Res. 123—Making further continuing appropriations for fiscal year 2003. Provided funding for various government activities for the period October 18 thru November 22, 2002. Approved October 18, 2002 (P.L. 107–244).
- H.J. Res. 124—Making further continuing appropriations for fiscal year 2003. Provided funding for various government activities for the period November 22, 2002 thru January 11, 2003. Approved November 23, 2002 (P.L. 107–294).

OVERSIGHT PLAN

Pursuant to clause 2(d)(1) of Rule X the Committee submitted the following Oversight Plan on February 28, 2001.

“For the 107th Congress the Committee intends to proceed in the following manner:

1. *Budget Overview Hearings.* Immediately upon receipt of the President’s budget the Committee will conduct its annual budget overview hearings on the recommendations of the President. These hearings will take testimony from the Secretary of the Treasury, the Chairman of the President’s Council of Economic Advisers, and the Director of the Office of Management and Budget.

2. *Subcommittee Hearings.* The Appropriations Committee has a long tradition of in-depth analysis of the President’s pending budget as well as the effective use of previously appropriated resources. For example, during the 106th Congress the Committee on Appropriations held 429 days of hearings, took testimony from 4,916 witnesses, and published 169 volumes of hearings totaling 188,907 pages. This level of oversight and investigation will continue during this Congress.

3. *Investigations.* In addition to formal oversight, the Committee utilizes various investigative agencies to conduct in-depth analysis of specific problem areas. These investigations are conducted by the Committee’s own Surveys and Investigations Staff, the General Accounting Office, the Congressional Research Service, and the Office of Technology Assessment. In the previous Congress, the Committee received 56 Surveys and Investigations studies and 143 investigative reports from the GAO.

4. *Appropriations Bills.* The ultimate exercise of oversight is the ‘power of the purse’ which the Committee takes as its highest responsibility. This allocation of scarce Federal dollars demands strict compliance with all budgetary concepts and strictures. The Committee intends to follow the requirements of the Congressional Budget and Control Act with regard to the subdivision of budget authority and outlays to the 13 subcommittees. Appropriations bills will be developed in accordance with the results of all the oversight activities in paragraphs 1, 2, and 3, above and brought to the floor for consideration within all relevant budgetary constraints.”

OVERSIGHT ACTIONS

For the 107th Congress, the Committee accomplished its oversight plan. As stated earlier, the Committee conducted extensive hearings. In the matter following this section, the details of investigations conducted by and for the Committee are provided. As a result of these hearings and investigations, the Committee was able to produce appropriations bills that were within all relevant budgetary constraints for the 107th Congress.

INVESTIGATIONS CONDUCTED BY THE COMMITTEE
(107TH CONGRESS)

In addition to and in support of the regular hearing process, the Committee conducted numerous studies of Federal projects and activities designed to assist in reaching decisions on specific funding levels covering a wide range of subjects. These studies were conducted by the Committee's Surveys and Investigations Staff, which has been in continuous existence since 1943.

The Committee has, over a number of years, delegated the authority to originate requests for investigations to its Subcommittees, with the concurrence of the Chairman and Ranking Minority Member of the Full Committee required to implement such requests.

When an investigation is ordered, expert investigators are assembled to conduct it and render a report thereon for the use of the Committee. The Committee delegates to this Staff the responsibility for selection of individual investigators, the criteria being competence and objectivity.

During the 107th Congress, the Surveys and Investigations Staff was tasked to conduct 39 investigations of operations and programs of various departments and agencies of the Federal Government and, in addition, completed and reported to the Committee on 8 investigations that had been directed during previous Congresses. Some of these efforts were of long duration, resulted in the preparation of multiple investigative products, and involved a sizable number of investigators. Examples of this type of investigation include an examination of the Federal Law Enforcement Control of U.S. Borders; Department of Defense Overseas Contingency Operations Transfer Fund; and the U.S. Forest Service Forest Legacy Program.

Other investigations of shorter duration and with fewer investigators assigned, provided a quick response to the Committee. An example of such an inquiry was the one dealing with the Operations of the Patent and Trademark Office. This investigation was concluded within 11 weeks from the receipt of the request.

Most investigations undertaken by the Surveys and Investigations Staff fell somewhere in between the above extremes in terms of duration and the number of investigators committed. Notable examples of investigations conducted during the 107th Congress include:

- Department of Defense Ship Depot Maintenance
- U.S. Customs Service Air Maintenance Contract
- Treasury Information Technology Systems Related to Human Resources and Financial Management
- Department of Agriculture's Animal and Plant Health Inspection Service's Plum Island Animal Disease Center
- Department of Defense Chief of Staff—Service Secretary Reserve Funds

During the 107th Congress, a total of 81 professionals, variously consisting of a small number of permanent staff, personnel on loan from 3 agencies of the Federal Government, as well as contractors were utilized to conduct these investigations and prepare the reports. A summary of the investigations requested by the various

Subcommittees and completed or started during the 107th Congress follows. Eight investigations dealt with classified intelligence matters and consequently are not otherwise identified.

STUDIES COMPLETED OR STARTED BY THE SURVEYS AND INVESTIGATIONS STAFF
COMMITTEE ON APPROPRIATIONS, 107TH CONGRESS

AGRICULTURE, RURAL DEVELOPMENT, FEDERAL, AND RELATED AGENCIES

- Department of Agriculture Utilization of Commodity Credit Corporation Funds for Market Loss Assistance Payments and Other Program Payments
- The Animal and Plant Health Inspection Service's Plum Island Animal Disease Center

COMMERCE, JUSTICE AND STATE

- Operations of the Patent and Trademark Office

DEFENSE

- DOD Overseas Contingency Operations Transfer Fund
- Budget Activities of the Defense Finance and Accounting Service
- DOD Aviation Spares
- DOD Ship Depot Maintenance
- DOD Acquisition Workforce
- DOD and North Atlantic Treaty Organization Support to Other Nations
- DOD A-76 Cost Comparisons
- DOD Management of Special and Incentive Pays
- DOD War Reserve Materials and Prepositioned Equipment Sets
- Navy and Air Force Flying Hour Costs
- FY 2002 Budget Request for DOD Research, Development, Test & Evaluation, Defense Wide Accounts
- FY 2002 Budget Request for DOD Procurement, Defense-Wide Accounts
- FY 2002 Budget Request for the DOD Operations and Maintenance, Defense-Wide Accounts
- Intelligence Matter I (00)
- Intelligence Matter II (00/01/02)
- Intelligence Matter III (00/01/02)
- Intelligence Matter IV (00/01/02)
- Intelligence Matter VI (00)
- Intelligence Matter I (01/02)
- Intelligence Matter II (01/02)
- Intelligence Matter I (02)
- Unmanned Aerial Vehicle Programs of the U.S. Army, Navy and Air Force
- Army Budget Activities of the FY 2003 Other Procurement Appropriations
- Navy Budget Activities of the FY 2003 Other Procurement Appropriations
- Air Force Budget Activities of the FY 2003 Other Procurement Appropriations
- Department of the Navy's Programs to Mitigate Terrorist Risk Against Naval Vessels
- Navy-Marine Corps Intranet
- FY 2003 Budget Request for the Research, Development, Test and Evaluation, Air Force Accounts
- Defense Health Program of the DOD
- Chemical Weapons Storage and Disposal Programs of the DOD
- Chief of Staff/Service Secretary Reserve Funds

INTERIOR AND RELATED AGENCIES

- U.S. Forest Service Forest Legacy Program
- Indian Health Service Administration of Federal Health Programs

MILITARY CONSTRUCTION

- Presidential Emergency Action Facilities And Programs
- Status of Command Structure Planning at U.S. Southern Command

TREASURY, POSTAL, AND GENERAL GOVERNMENT

- Information Technology Systems Related to Human Resources and Financial Management
- United States Customs Service Air Maintenance Contract
- E-Government Initiative
- Department of Treasury's HR Connect
- Selected Programs of the Office of National Drug Control Policy, the Department of Justice, and the Department of Treasury
- Federal Law Enforcement Control of U.S. Borders
- Public Safety Wireless Integrated Network

VA, HUD AND INDEPENDENT AGENCIES

- Increasing Costs Associated with NASA's International Space Station
- Procedures Established by the Department of Housing and Urban Development to Enforce the Anti-Deficiency Act 1

With respect to the above listing, it should be noted that since that studies originate with the Subcommittees, any information developed during the course of an investigation is reported to the Subcommittee which requested such study or examination as well as the Chairman and Ranking Minority Member of the Full Committee. This information may be released for publication only when the Subcommittee so determines as provided by Section 8 of the Committee's rules.

SUPPORT PROVIDED BY THE GAO

In addition to the information made available to the Committee through its Surveys and Investigation Staff, the Committee also utilizes the resources of the General Accounting Office. The Committee receives a copy of every GAO report addressed to the Congress. In recent years the scope of the GAO auditing and review capability has been enlarged to include management surveys. For over 20 years the GAO has extracted from those reports and compiled in separate volumes a list of so-called "significant audit findings" for special use by the Committee staff in the annual appropriations hearings. These findings relate to matters which are felt to require corrective action by the Committee's efforts, through legislation, or through administrative efforts. This compilation is designed to identify problem areas in an individual agency which might have applicability to other organizations.

Additionally, the Committee frequently calls upon GAO to make special studies and investigations.

A listing of some reports and staff studies by the Committee which were underway during the 107th Congress follows:

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Title	Date
Military Personnel: Management and Oversight of Selective Reenlistment Bonus Program Needs Improvement (GAO-03-149)	11/25/2002
NATO Enlargement: Report Is Responsive to Senate Requirements, but Additional Information Could Be Useful (GAO-03-255)	11/15/2002
Public Schools: Insufficient Research to Determine Effectiveness of Selected Private Education Companies (GAO-03-11)	10/29/2002
Defense Inventory: Better Reporting on Spare Parts Spending Will Enhance Congressional Oversight (GAO-03-18)	10/24/2002
Immigration Benefits: Eighth Report Required by the Haitian Refugee Immigration Fairness Act of 1998 (GAO-03-240R)	10/22/2002
Bone Marrow Transplants: Despite Recruitment Successes, National Program May Be Underutilized (GAO-03-182)	10/18/2002
Funding for Technical Assistance for Conservation Programs Enumerated in Section 2701 of the 2002 Farm Bill (B-291241)	10/8/2002
Financial Audit: Independent Counsel Expenditures for the Six Months Ended March 31, 2002 (GAO-02-1068)	9/30/2002
Highway Projects: Extent of Projects with Unobligated Balances of Greater Than 85 Percent as of August 16, 2002 (GAO-02-1059R)	9/23/2002
Defense Health Care: Most Reservists Have Civilian Health Coverage but More Assistance Is Needed When TRICARE Is Used (GAO-02-829)	9/6/2002
District of Columbia: Fiscal Structural Balance Issues (GAO-02-1001)	9/4/2002
Options to Enhance the Long-term Viability of the Essential Air Service Program (GAO-02-997R)	8/30/2002
Nonproliferation R&D: NNSA's Program Develops Successful Technologies, but Project Management Can Be Strengthened (GAO-02-904)	8/23/2002
Customs Service Modernization: Third Expenditure Plan Meets Legislative Conditions, but Cost Estimating Improvements Needed (GAO-02-908)	8/9/2002
District of Columbia: More Details Needed on Plans to Integrate Computer Systems With the Family Court and Use Federal Funds (GAO-02-948)	8/7/2002
Foreign Assistance: Disaster Recovery Program Addressed Intended Purposes, but USAID Needs Greater Flexibility to Improve Its Response Capability (GAO-02-787)	7/24/2002
Department of Defense's Compliance with Statutory Requirements for Funding Military Operations Where Funds Were Not Provided in Advance (GAO-02-903R)	7/19/2002
Defense Inventory: Improved Industrial Base Assessments for Army War Reserve Spares Could Save Money (GAO-02-650)	7/12/2002
Apportionment of Budget Authority for America West Airlines (B-290600)	7/10/2002
IRS's Budget Justification: Options for Structure and Content (GAO-02-711R)	7/8/2002
NNSA: Nuclear Weapon Reports Need to Be More Detailed and Comprehensive (GAO-02-889R)	7/3/2002
Executive Office of the President: Analysis of Mandated Report on Key Information Technology Areas (GAO-02-779R)	6/28/2002
Department of Energy: Observations on Using External Agencies to Regulate Nuclear and Worker Safety in DOE's Science Laboratories (GAO-02-868R) ...	6/26/2002
Defense Logistics: Better Fuel Pricing Practices Will Improve Budget Accuracy (GAO-02-582)	6/21/2002
Military Housing: Management Improvements Needed As the Pace of Privatization Quickens (GAO-02-624)	6/21/2002
FBI Reorganization: Initial Steps Encouraging but Broad Transformation Needed (GAO-02-865T)	6/21/2002
Air Force Depot Maintenance: Management Improvements Needed for Backlog of Funded Contract Maintenance Work (GAO-02-623)	6/20/2002
Information Management: Challenges in Managing and Preserving Electronic Records (GAO-02-586)	6/17/2002

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International Trade: Critical Issues Remain in Deterring Conflict Diamond Trade (GAO-02-678)	6/14/2002
Defense Infrastructure: Most Recruit Training Barracks Have Significant Deficiencies (GAO-02-786)	6/13/2002
The Global Fund to Fight AIDS, Tuberculosis, and Malaria Has Been Established but It is Premature to Evaluate its Effectiveness (GAO-02-819R)	6/7/2002
Highway Projects: Extent of Unobligated Balances for Demonstration Projects as of March 31, 2002 (GAO-02-721R)	6/5/2002
Public Housing: HUD and Public Housing Agencies' Experiences with Fiscal Year 2000 Plan Requirements (GAO-02-572)	5/31/2002
Highway Research: Systematic Selection and Evaluation Processes Needed for Research Program (GAO-02-573)	5/24/2002
DCPS: Attorneys' Fees for Access to Special Education Opportunities (GAO-02-559R)	5/22/2002
Customs Service Modernization: Management Improvements Needed on High-Risk Automated Commercial Environment Project (GAO-02-545)	5/13/2002
D.C. Family Court: Additional Actions Should Be Taken to Fully Implement Its Transition (GAO-02-584)	5/6/2002
Defense Health Care: Health Care Benefit for Women Comparable to Other Plans (GAO-02-602)	5/1/2002
Defense Inventory: Trends in Services' Spare Parts Purchased from the Defense Logistics Agency (GAO-02-452)	4/30/2002
District of Columbia: D.C. Public Schools' Modernization Program Faces Major Challenges (GAO-02-628T)	4/25/2002
Fiscal Year 2003 Budget Request: U.S. General Accounting Office (GAO-02-519T)	4/24/2002
Immigration Benefits: Seventh Report Required by the Haitian Immigration Fairness Act of 1998 (GAO-02-600R)	4/18/2002
District of Columbia: Performance Report Reflects Progress and Opportunities for Improvement (GAO-02-588)	4/15/2002
National Park Service: Status of Efforts to Develop Better Deferred Maintenance Data (GAO-02-568R)	4/12/2002
Land Management Agencies: Restoring Fish Passage Through Culverts on Forest Service and BLM Lands in Oregon and Washington Could Take Decades (GAO-02-596T)	4/10/2002
Medicare Fraud and Abuse: DOJ Continues to Promote Compliance with False Claims Act Guidance (GAO-02-546)	4/5/2002
Wildland Fire Management: Improved Planning Will Help Agencies Better Identify Fire-Fighting Preparedness Needs (GAO-02-158)	3/29/2002
Financial Audit: Independent and Special Counsel Expenditures for the Six Months Ended September 30, 2001 (GAO-02-443)	3/29/2002
Air Quality: TVA Plans to Reduce Air Emissions Further, but Could Do More to Reduce Power Demand (GAO-02-301)	3/8/2002
Tactical Aircraft: F-22 Delays Indicate Initial Production Rates Should Be Lower to Reduce Risks (GAO-02-298)	3/5/2002
DC Courts: Disciplined Processes Critical to Successful System Acquisition (GAO-02-316)	2/28/2002
VA Health Care: Allocation Changes Would Better Align Resources with Workload (GAO-02-338)	2/28/2002
Business Systems Modernization: IRS Needs to Better Balance Management Capacity with System Acquisition Workload (GAO-02-356)	2/28/2002
Defense Acquisitions: Steps to Improve the Crusader Program's Investment Decisions (GAO-02-201)	2/25/2002
Drinking Water: Revisions to EPA's Cost Analysis for the Radon Rule Would Improve Its Credibility and Usefulness (GAO-02-333)	2/22/2002

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Title I Funding: Poor Children Benefit Though Funding Per Poor Child Differs (GAO-02-242)	1/31/2002
Severe Wildland Fires: Leadership and Accountability Needed to Reduce Risks to Communities and Resources (GAO-02-259)	1/31/2002
Federal Housing Assistance: Comparing the Characteristics and Costs of Housing Programs (GAO-02-76)	1/31/2002
Defense Acquisitions: Collection and Reporting of Information Technology Purchases (GAO-02-331)	1/28/2002
Department of Energy: Fundamental Reassessment Needed to Address Major Mission, Structure, and Accountability Problems (GAO-02-51)	12/21/2001
Wildlife Services Program: Information on Activities to Manage Wildlife Damage (GAO-02-138)	11/30/2001
Joint Warfighting: Attacking Time-Critical Targets (GAO-02-204R)	11/30/2001
Land Management Agencies: Restoring Fish Passage Through Culverts on Forest Service and BLM Lands in Oregon and Washington Could Take Decades (GAO-02-136)	11/23/2001
District of Columbia Issues: D.C. Workforce Reductions and Related Funding Issues (GAO-02-128R)	11/5/2001
Immigration Benefits: Sixth Report Required by the Haitian Immigration Fairness Act of 1998 (GAO-02-114R)	10/22/2001
Defense Health Care: Disability Programs Need Improvement and Face Challenges (GAO-02-73)	10/12/2001
National Parks: Significant Progress Made in Preserving the Presidio and Attaining Financial Self-Sufficiency (GAO-02-87)	10/4/2001
Financial Audit: Independent and Special Counsel Expenditures for the Six Months Ended March 31, 2001 (GAO-01-1035)	9/28/2001
Medicare: Program Designed to Inform Beneficiaries and Promote Choice Faces Challenges (GAO-01-1071)	9/28/2001
Bioterrorism: Federal Research and Preparedness Activities (GAO-01-915)	9/28/2001
District of Columbia: D.C. Public Schools Inappropriately Used Gas Utility Contract for Renovations (GAO-01-963)	9/28/2001
Army Management: Need to Reassess Risk and Resources for Inspecting Ceremonial Rifles (GAO-01-967)	9/28/2001
Former Presidents: Office and Security Costs and Other Information (GAO-01-983)	9/28/2001
Aviation Security: Terrorist Acts Illustrate Severe Weaknesses in Aviation Security (GAO-01-1166T)	9/20/2001
Export-Import Bank: The U.S. Export-Import Bank's Financing of Dual-Use Exports (GAO-01-1110R)	8/31/2001
Budget Scoring: Budget Scoring Affects Some Lease Terms, but Full Extent Is Uncertain (GAO-01-929)	8/31/2001
Highway Projects: Extent of Unobligated Balances for Demonstration Projects (GAO-01-985R)	8/31/2001
Internal Revenue Service: Results of Review of IRS Spending for Business Systems Modernization (GAO-01-920)	8/17/2001
Defense Inventory: Navy Spare Parts Quality Deficiency Reporting Program Needs Improvement (GAO-01-923)	8/16/2001
Financial Management: DOD Improvement Plan Needs Strategic Focus (GAO-01-764)	8/15/2001
Navy Inventory: Parts Shortages Are Impacting Operations and Maintenance Effectiveness (GAO-01-771)	7/31/2001
Army Inventory: Parts Shortages Are Impacting Operations and Maintenance Effectiveness (GAO-01-772)	7/31/2001
Human Capital: Implementing an Effective Workforce Strategy Would Help EPA to Achieve Its Strategic Goals (GAO-01-812)	7/31/2001

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Kosovo Air Operations: Need to Maintain Alliance Cohesion Resulted in Doctrinal Departures (GAO-01-784)	7/27/2001
Federal Housing Programs: What They Cost and What They Provide (GAO-01-901R)	7/18/2001
Defense Logistics: Information on Apache Helicopter Support and Readiness (GAO-01-630)	7/17/2001
Federal Emergency Management Agency: Weaknesses Exist in the Cerro Grande Fire Assistance Claim Validation Process (GAO-01-848)	7/13/2001
Multifamily Housing: Issues Related to Mark-to-Market Program Reauthorization (GAO-01-800)	7/11/2001
[Comments on Obligations Incurred by TRICARE Management Activity] (B-287619)	7/5/2001
Freight Railroad Regulation: Surface Transportation Board's Oversight Could Benefit From Evidence Better Identifying How Mergers Affect Rates (GAO-01-689)	7/5/2001
Business Systems Modernization: Results of Review of IRS' March 2001 Expenditure Plan (GAO-01-716)	6/29/2001
Air Force Inventory: Parts Shortages Are Impacting Operations and Maintenance Effectiveness (GAO-01-587)	6/27/2001
Fiscal Year 2002 Budget Request: U.S. General Accounting Office (GAO-01-809T)	6/26/2001
Anti-Drug Media Campaign: Aspects of Advertising Contract Mismanaged by the Government; Contractor Improperly Charged Some Costs (GAO-01-623)	6/25/2001
Health Centers and Rural Clinics: Payments Likely to Be Constrained Under Medicaid's New System (GAO-01-577)	6/19/2001
Business Systems Modernization: Results of Review of IRS' Customer Account Data Engine Project (GAO-01-717)	6/12/2001
Defense Inventory: Information on the Use of Spare Parts Funding Is Lacking (GAO-01-472)	6/11/2001
Defense Acquisitions: Higher Level DOD Review of Antiarmor Mission and Munitions Is Needed (GAO-01-607)	6/8/2001
District of Columbia: Comments on Fiscal Year 2000 Performance Report (GAO-01-804)	6/8/2001
Customs Service Modernization: Results of Review of First Automated Commercial Environment Expenditure Plan (GAO-01-696)	6/5/2001
Defense Working Capital Fund: Improvements Needed for Managing the Backlog of Funded Work (GAO-01-559)	5/30/2001
Air Pollution: Air Quality and Respiratory Problems in and Near the Great Smoky Mountains (GAO-01-658)	5/25/2001
Air Pollution: Air Quality and Respiratory Problems in and Near the Great Smoky Mountains (GAO-01-790T)	5/25/2001
Defense Health Care: Across-the-Board Physician Rate Increases Would be Costly and Unnecessary (GAO-01-620)	5/24/2001
Defense Acquisition: Army Transformation Faces Weapon Systems Challenges (GAO-01-311)	5/21/2001
Multilateral Development Banks: Profiles of Selected Multilateral Development Banks (GAO-01-665)	5/18/2001
District of Columbia: Compensation Simplification Contracting Requirements (GAO-01-690R)	5/16/2001
District of Columbia: Observations on Management Issues (GAO-01-743T)	5/16/2001
Defense Inventory: Army War Reserve Spare Parts Requirements Are Uncertain (GAO-01-425)	5/10/2001
Licensing Hydropower Projects: Better Time and Cost Data Needed to Reach Informed Decisions About Process Reforms (GAO-01-499)	5/2/2001
District of Columbia: Weaknesses in Financial Management System Implementation (GAO-01-489)	4/30/2001

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Pipeline Safety Fund: Minimum Balance Was Not Reasonably Estimated (GAO-01-523)	4/30/2001
Veterans' Health Care: Observations on VA's Assessment of Hepatitis C Budgeting and Funding (GAO-01-661T)	4/25/2001
Immigration Benefits: Fifth Report Required by the Haitian Immigration Fairness Act of 1998 (GAO-01-651R)	4/20/2001
St. Elizabeths Hospital: Real Property Issues Related to the West Campus (GAO-01-434)	4/16/2001
State Department: Decision to Retain Embassy Parking Lot in Paris, France, Should Be Revisited (GAO-01-477)	4/13/2001
Defense Infrastructure: Military Services Lack Reliable Data on Historic Properties (GAO-01-437)	4/6/2001
D.C. Criminal Justice System: Better Coordination Needed Among Participating Agencies (GAO-01-187)	3/30/2001
Financial Audit: Independent and Special Counsel Expenditures for the Six Months Ended September 30, 2000 (GAO-01-505)	3/30/2001
Medicare Fraud and Abuse: DOJ Has Improved Oversight of False Claims Act Guidance (GAO-01-506)	3/30/2001
District of Columbia: National Museum of American Music (GAO-01-537R)	3/23/2001
Intercity Passenger Rail: Assessing the Benefits of Increased Federal Funding for Amtrak and High-Speed Passenger Rail Systems (GAO-01-480T)	3/21/2001
Foreign Assistance: Implementing Disaster Recovery Assistance in Latin America (GAO-01-541T)	3/21/2001
Restructuring of the District of Columbia Department of Public Works' Division of Transportation (GAO-01-347R)	3/16/2001
Business Systems Modernization: IRS Has Satisfied Congressional Direction on the Custodial Accounting Project (GAO-01-444R)	3/16/2001
Tactical Aircraft: F-22 Development and Testing Delays Indicate Need for Limit on Low-Rate Production (GAO-01-310)	3/15/2001
Agricultural Trade: Impacts of the Andean Trade Preference Act on Asparagus Producers and Consumers (GAO-01-315)	3/15/2001
Defense Infrastructure: Historic Properties within the Department of Defense (GAO-01-497T)	3/15/2001
Department of the Treasury: Information on the Office of Enforcement's Operations (GAO-01-305)	3/2/2001
Defense Acquisitions: Space-Based Infrared System—low at Risk of Missing Initial Deployment Date (GAO-01-6)	2/28/2001
Land Management Systems: BLM's Actions to Improve Information Technology Management (GAO-01-282)	2/27/2001
Contract Management: No DOD Proposal to Improve Contract Service Costs Reporting (GAO-01-295)	2/16/2001
Medicare Home Health Care: OASIS Data Use, Cost, and Privacy Implications (GAO-01-205)	1/30/2001
Student Discipline: Individuals With Disabilities Education Act (GAO-01-210)	1/25/2001
International Monetary Fund: Efforts to Advance U.S. Policies at the Fund (GAO-01-214)	1/23/2001
Tax Systems Modernization: Results of Review of IRS' Third Expenditure Plan (GAO-01-227)	1/22/2001

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ADVANCED EXTREMELY HIGH FREQUENCY (AEHF) SATELLITE COMMUNICATION SYSTEM (ASM)
ACQUISITION OF THE DEPARTMENT OF DEFENSE'S JOINT TACTICAL RADIO SYSTEM (ASM)
AIR FORCE MANAGEMENT OF SECONDARY INVENTORY ITEMS NEEDING REPAIR (DCM)
REVIEW OF DOD'S PERSONAL PROPERTY PROGRAM EVALUATION RESULTS (DCM)
EFFECTIVENESS OF SPARE PARTS AND RELATED LOGISTICS SUPPORT PROVIDED TO DEPLOYED NAVY SHIPS (DCM)
ARMY'S INITIATIVES TO OVERCOME SHORTAGES OF SPARE PARTS (DCM)
AIR FORCE INITIATIVES TO REDUCE SPARE PARTS SHORTAGES (DCM)
NAVY INITIATIVES TO REDUCE SPARE PARTS SHORTAGES (DCM)
DEFENSE LOGISTICS AGENCY INITIATIVES TO REDUCE SPARE PARTS SHORTAGES (DCM)
OFFICE OF THE SECRETARY OF DEFENSE OVERSIGHT OF INITIATIVES TO ADDRESS SPARE PARTS SHORTAGES AND THEIR IMPACT ON READINESS (DCM)
KOREAN LAND PARTNERSHIP PLAN FOR CONSOLIDATING AND ALIGNING FACILITIES WITH FUTURE REQUIREMENTS (DCM)
MILITARY ACADEMIES ADMISSIONS (DCM)
CONDITION OF MILITARY EQUIPMENT AND RELATED FUNDING (DCM)
FOOD ASSISTANCE: OPPORTUNITIES FOR INCREASING WIC INFANT FORMULA REBATES (EWIS)
DOD OVERSEAS SCHOOLS: COMPENSATION ADEQUATE FOR RECRUITING AND RETAINING WELL-QUALIFIED TEACHERS (EWIS)
STUDENT LOANS FOR AMERICANS ATTENDING FOREIGN SCHOOLS (EWIS)
PHYSICAL CONDITION OF BIA SCHOOL FACILITIES (EWIS)
NATIONAL PARK SERVICE TRAVEL EXPENSES FOR 1999 THROUGH 2002 (TO DATE) (FMA)
FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA) ANNUAL REPORT OF CERRO GRANDE FIRE CLAIM STATUS AND PAYMENTS FOR FY02 (FMA)
NAVY SPACE AND NAVAL WARFARE CENTERS (SPAWAR) CARRYOVER BALANCES (FMA)
REVIEW OF CERTAIN ASPECTS OF THE WORLD BANK GROUP'S GOVERNANCE STRUCTURE (FMA)
REVIEW OF THE OPERATIONS OF THE LIBRARY OF CONGRESS SPECIAL EVENTS GIFT FUND (FMA)
REVIEW OF EMERGENCY RESPONSE FUNDS (ERF) PROVIDED TO CERTAIN LEGISLATIVE BRANCH ENTITIES (FMA)
QUARTERLY ASSESSMENT OF THE UNITED STATES CAPITOL POLICE OFFICE OF ADMINISTRATIVE OPERATIONS (FMA)
INDEPENDENT AND SPECIAL COUNSEL AUDITS FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2002 (FMA)
CAPITOL VISITOR CENTER (CVC) PROJECT FINANCIAL MANAGEMENT (FMA)
COST OF SAVINGS FROM SAVINGS BONDS (FMCI)
STATE AND LOCAL PREPAREDNESS FOR POTENTIAL BIOTERRORIST ATTACK AGAINST THE CIVILIAN POPULATION (HC)
ADVISABILITY, NEED, AND COST OF PHYSICAL EXAMS, SCREENINGS AND CARE FOR RESERVE COMPONENT MEMBERS (HC)
OXYCONTIN MARKETING AND PROMOTION STRATEGIES (HC)
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT'S EARTHQUAKE RECOVERY ASSISTANCE FOR EL SALVADOR (IAT)

ACTIVE ASSIGNMENTS IN PROCESS FOR HOUSE APPROPRIATIONS COMMITTEE AND SUBCOMMITTEES AS OF
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Title
THE STATUS OF NATO ENLARGEMENT EFFORTS (IAT)
U.S. AND INTERNATIONAL FOOD AID FOR AFGHANISTAN (IAT)
REVIEW OF GLOBAL HEALTH FUND TO FIGHT AIDS, TUBERCULOSIS AND MALARIA (IAT)
ASSESSMENT OF THE COOPERATIVE THREAT REDUCTION ANNUAL REPORT FOR FISCAL YEAR 2002 (IAT)
CONSISTENCY OF INTERNATIONAL MONETARY FUND PRACTICES WITH U.S. POLICIES AND LAW (IAT)
ASSESSING THE NATIONAL ARCHIVES AND RECORDS ADMINISTRATION'S EFFORTS TO ACQUIRE AN ADVANCED ELECTRONIC RECORDS ARCHIVE SYSTEM (IT)
INFORMATION TECHNOLOGY INVESTMENT MANAGEMENT AT THE DEPARTMENT OF THE INTERIOR (IT)
REVIEW OF AGENCY COMPLIANCE WITH SECTION 813 OF PUBLIC LAW 106-398 (IT)
LAND RENTS FOR HYDROPOWER PROJECTS ON FEDERAL LANDS (NRE)
ANALYSIS OF THE CORPS OF ENGINEERS' MINIMUM DREDGING FLEET (NRE)
WILDFIRE FUELS REDUCTION PROJECT DEVELOPMENT, IMPLEMENTATION, AND MONITORING (NRE)
SCIENCE SUPPORTING THE SOUTH FLORIDA ECOSYSTEM RESTORATION INITIATIVE (NRE)
NUCLEAR WEAPON STOCKPILE LIFE EXTENSION PROGRAM MANAGEMENT (NRE)
U.S. ARMY CORPS OF ENGINEERS AMERICAN RIVER LEVEE COMMON ELEMENTS PROJECT (NRE)
U.S. MINT: PUBLIC PREFERENCE FOR U.S. COIN DESIGN WITH PARTICULAR ATTENTION TO THE NEW \$1 COIN (PI)
MANDATED GENERAL MANAGEMENT REVIEW OF THE ARCHITECT OF THE CAPITOL (SI)
FEDERAL LAW ENFORCEMENT TRAINING CENTER: POST 9/11 FACILITY TRAINING CAPACITY (TAJ)
MONITORING THE IMPLEMENTATION OF THE FBI'S REORGANIZATION PLANS (TAJ)
INTERNAL CONTROLS OVER FBI'S IMPLEMENTATION OF NEW ATTORNEY GENERAL GUIDELINES (TAJ)

APPENDIX A

BACKGROUND OF THE COMMITTEE ON APPROPRIATIONS

On March 2, 1865, the House of Representatives separated the appropriating and banking and currency duties from the Committee on Ways and Means, which was first established in 1789, and assigned them to two new committees—the Committee on Appropriations and the Committee on Banking and Currency.

Until 1865, all “general” appropriations bills had been controlled in the House by the Committee on Ways and Means—also in charge of revenue measures and some other classes of substantive legislation.

MEMBERSHIP OF THE COMMITTEE

The new Committee on Appropriations—six Republicans and three Democrats—was appointed on December 11, 1865, in the 1st session of the 39th Congress, and first reported the general appropriations bills for the fiscal year 1867. By 1920, the number of members had grown to 21. It was changed that year to 35 and gradually increased to 50 by 1951, and now numbers 65 members with 36 Republicans and 29 Democrats.

COMMITTEE CHAIRMEN

Twenty-nine men, including the present incumbent, the Honorable C. W. Bill Young of Florida, have served as chairman of the Committee on Appropriations. The Honorable Clarence Cannon of Missouri, served as chairman nearly 19 years, although his term as chairman was of broken continuity. The Honorable George Mahon of Texas, served as chairman continuously longer than any other person, from May 18, 1964 to January 3, 1979. Several chairmen went on to higher or other important offices. One, James Garfield of Ohio, became President. Three, Samuel Randall of Pennsylvania, Joseph Cannon of Illinois, and Joseph Byrns of Tennessee, became Speaker of the House. Three later served in the U.S. Senate. One became Governor of his State. The list of distinctions is long. Interesting biographical sketches of 21 of the men are contained in House Document No. 299 of the 77th Congress.

THE GROWTH OF EXPENDITURES

In the early years of the Congress, a single general appropriation bill from the Ways and Means Committee met the needs of the country. The first bill, in 1789, appropriated \$639,000 and covered 13 lines of the printed statutes. Five years later, in 1794, the Army was supplied in a separate bill, then the Navy in 1799. This trend continued until in 1865, there were 10 bills passed over to the new Committee on Appropriations, not including deficiency bills. For fiscal year 1999, appropriations within the 13 subcommittees have provided a total of \$840.7 billion.

Until recent years, large sustained spending increases of the Federal Government usually occurred only in connection with wars. For several years prior to the Civil War, Federal expenditures averaged \$60,000,000 annually. By the peak of the war, in fiscal year

1865, expenditures amounted to \$1,297,555,224. The year following the war—fiscal year 1866—spending was reduced to \$520,809,417.

In the first year for which the new Committee on Appropriations reported the general bills, fiscal year 1867, total expenditures of the Government were \$357,542,675. In the ensuing 100 years the lowest expenditure level was \$236,964,327—in fiscal year 1878. The Spanish-American War period marked the high point for the remainder of the century; in fiscal year 1899 expenditures reached \$605,072,179, but by 1902 had dropped back to \$485,234,249. With the onset of World War I expenditures again crossed the billion-dollar mark in fiscal year 1917, reached a war peak of \$18,514,879,955 in fiscal year 1919, and receded by fiscal year 1927 to \$2,974,029,674, the lowest subsequent level.

By 1940, spending in appropriations bills had climbed to \$14.6 billion as a result mainly of various New Deal legislation—when we began meeting local problems with national programs. Since 1934, our national wealth has increased 41 times.

By 1943, the fiscal high point of World War II—some \$143.8 billion was being spent. After World War II spending declined to the \$30 billion range and then increased to \$91.1 billion in 1951 in connection with the Korean War.

After the Korean War, Federal spending in appropriations bills decreased to \$47.6 billion in 1954. This is the last period in the Federal budget in which spending has decreased. Every year after 1954 spending has steadily increased in order to help meet the needs facing the country.

THE BUDGET PROCESS

By the early 1970's new forces were at work calling for changes in the way in which Congress handled the budget and appropriations process.

One of the most compelling of these forces, although it was largely a temporary problem, was due to the "impoundment" of funds in fiscal year 1974 by the President. This was, in effect, a line item veto of funds for programs that were initiated or increased by the Congress. Many Members of Congress and certain special interest groups were outraged and extremely frustrated by the impoundments. Numerous court suits on various impoundments had been filed and were in the process of being heard.

A more serious reason for budget reform was due to the widely held belief that the budget was out of control. Deficits were mounting; so-called "uncontrollable" spending was climbing; and "back door" spending, i.e. spending provided other than through the Appropriations Committee, was increasing. It was also becoming clear that there was little, if any coordination between raising and spending revenues.

Additionally, there was a feeling among some Members of Congress that there needed to be other or additional ways to change the priorities of Federal spending. Because of these and other concerns, formal work was begun on improving the congressional budget process through the establishment of the Joint Study Committee on Budget Control.

The work of this Committee, the House Rules Committee, the Senate Committee on Government Operations, and the Senate Rules Committee eventually resulted in the adoption of the Congressional Budget and Impoundment Control Act of 1974.

APPENDIX B

PROVISIONS OF THE RULES OF THE HOUSE OF REPRESENTATIVES APPLICABLE TO THE JURISDICTION OF THE HOUSE COMMITTEE ON APPROPRIATIONS

Rule X

ORGANIZATION OF COMMITTEES

Committees and Their Legislative Jurisdictions

1. There shall be in the House the following standing committees, each of which shall have the jurisdiction and related functions assigned by this clause and clauses 2, 3, and 4. All bills, resolutions, and other matters relating to subjects within the jurisdiction of the standing committees listed in this clause shall be referred to those committees, in accordance with clause 2 of rule XII, as follows:

* * * * *

(b) Committee on Appropriations

(1) Appropriation of the revenue for the support of the Government.

(2) Rescissions of appropriations contained in appropriation Acts.

(3) Transfers of unexpended balances.

(4) Bills and joint resolutions reported by other committees that provide new entitlement authority as defined in section 3(9) of the Congressional Budget Act of 1974 and referred to the committee under clause 4(a)(2).

* * * * *

General Oversight Responsibilities

2. (a) The various standing committees shall have general oversight responsibilities as provided in paragraph (b) in order to assist the House in—

(1) its analysis, appraisal, and evaluation of—

(A) the application, administration, execution, and effectiveness of Federal laws; and

(B) conditions and circumstances which may indicate the necessity or desirability of enacting new or additional legislation; and

(2) its formulation, consideration, and enactment of changes in Federal laws, and of such additional legislation, as may be necessary or appropriate.

(b)(1) In order to determine whether laws and programs addressing subjects within the jurisdiction of a committee are being implemented and carried out in accordance with the intent of Congress and whether they should be continued, curtailed, or eliminated, each standing committee (other than the Committee on Appropriations) shall review and study on a continuing basis—

* * * * *

Special Oversight Functions

3. (a) The Committee on Appropriations shall conduct such studies and examinations of the organization and operation of executive departments and other executive agencies (including an agency the majority of the stock of which is owned by the United States) as it considers necessary to assist it in the determination of matters within its jurisdiction.

* * * * *

Additional Functions of Committees

4. (a)(1)(A) The Committee on Appropriations shall, within 30 days after the transmittal of the Budget to the Congress each year, hold hearings on the Budget as a whole with particular reference to—

(i) the basic recommendations and budgetary policies of the President in the presentation of the Budget; and

(ii) the fiscal, financial, and economic assumptions used as bases in arriving at total estimated expenditures and receipts.

(B) In holding hearings pursuant to subdivision (A), the committee shall receive testimony from the Secretary of the Treasury, the Director of the Office of Management and Budget, the Chairman of the Council of Economic Advisers, and such other persons as the committee may desire.

(C) A hearing under subdivision (A), or any part thereof, shall be held in open session, except when the committee, in open session and with a quorum present, determines by record vote that the testimony to be taken at that hearing on that day may be related to a matter of national security. The committee may by the same procedure close one subsequent day of hearing. A transcript of all such hearings shall be printed and a copy thereof furnished to each Member, Delegate, and the Resident Commissioner.

(D) A hearing under subdivision (A), or any part thereof, may be held before a joint meeting of the committee and the Committee on Appropriations of the Senate in accordance with such procedures as the two committees jointly may determine.

(2) Pursuant to section 401(b)(2) of the Congressional Budget Act of 1974, when a committee reports a bill or joint resolution that provides new entitlement authority as defined in section 3(9) of that Act, and enactment of the bill or joint resolution, as reported, would cause a breach of the committee's pertinent allocation of new budget authority under section 302(a) of that Act, the bill or joint resolution may be referred to the Committee on Appropriations with instructions to report it with recommendations (which may include an amendment limiting the total amount of new entitlement authority provided in the bill or joint resolution). If the Committee on Appropriations fails to report a bill or joint resolution so referred within 15 calendar days (not counting any day on which the house is not in session), the committee automatically shall be discharged from consideration of the bill or joint resolution, and the bill or joint resolution shall be placed on the appropriate calendar.

(3) In addition, the Committee on Appropriations shall study on a continuing basis those provisions of law that (on the first day of

the first fiscal year for which the congressional budget process is effective) provide spending authority of permanent budget authority, and shall report to the House from time to time its recommendations for terminating or modifying such provisions.

(4) In the manner provided by section 302 of the Congressional Budget Act of 1974, the Committee on Appropriations (after consulting with the Committee on Appropriations of the Senate) shall subdivide any allocations made to it in the joint explanatory statement accompanying the conference report on such concurrent resolution, and promptly report the subdivisions to the House as soon as practicable after a concurrent resolution on the budget for a fiscal year is agreed to.

APPENDIX C

SUBCOMMITTEE MEMBERSHIP AND JURISDICTION

NOTE: Under Committee Rules, Mr. Young of Florida, as Chairman of the Full Committee, and Mr. Obey, as Ranking Minority Member of the Full Committee, are authorized to sit as Members of all Subcommittees.

SUBCOMMITTEE ON AGRICULTURE, RURAL DEVELOPMENT, FOOD AND DRUG ADMINISTRATION, AND RELATED AGENCIES

HENRY BONILLA, Texas, *Chairman*

JAMES T. WALSH, New York	MARCY KAPTUR, Ohio
JACK KINGSTON, Georgia	ROSA L. DeLAURO, Connecticut
GEORGE R. NETHERCUTT, JR., Washington	MAURICE D. HINCHEY, New York
TOM LATHAM, Iowa	SAM FARR, California
JO ANN EMERSON, Missouri	ALLEN BOYD, Florida
VIRGIL H. GOODE, JR., Virginia	
RAY LAHOOD, Illinois	

JURISDICTION

DEPARTMENT OF AGRICULTURE (*Except* Forest Service).
 Farm Credit Administration.
 Farm Credit System Financial Assistance Corporation.
 Commodity Futures Trading Commission.
 Food and Drug Administration (HHS).

SUBCOMMITTEE ON THE DEPARTMENTS OF COMMERCE, JUSTICE, AND
STATE, THE JUDICIARY, AND RELATED AGENCIES

FRANK R. WOLF, Virginia, *Chairman*

HAROLD ROGERS, Kentucky	JOSÉ E. SERRANO, New York
JIM KOLBE, Arizona	ALAN B. MOLLOHAN, West Virginia
CHARLES H. TAYLOR, North Carolina	LUCILLE ROYBAL-ALLARD, California
RALPH REGULA, Ohio	ROBERT E. "BUD" CRAMER, Jr., Alabama
TOM LATHAM, Iowa	PATRICK J. KENNEDY, Rhode Island
DAN MILLER, Florida	
DAVID VITTER, Louisiana	

JURISDICTION

DEPARTMENT OF COMMERCE.

DEPARTMENT OF JUSTICE.

DEPARTMENT OF STATE (*Except* International Narcotics Control; International Organizations and Programs (voluntary contributions); Migration and Refugee Assistance; Nonproliferation, Anti-terrorism, Demining and Related Programs; Peacekeeping Operations (voluntary contributions); U.S. Emergency Refugee and Migration Assistance Fund; Economic Support Fund).

DEPARTMENT OF TRANSPORTATION: Maritime Administration.

THE JUDICIARY.

RELATED AGENCIES:

- Commission for the Preservation of America's Heritage Abroad.
- Commission on Civil Rights.
- Commission on Ocean Policy.
- Commission on Security and Cooperation in Europe.
- Equal Employment Opportunity Commission.
- Federal Communications Commission.
- Federal Maritime Commission.
- Federal Trade Commission.
- International Trade Commission.
- Legal Services Corporation.
- Marine Mammal Commission.
- Office of the United States Trade Representative.
- Securities and Exchange Commission.
- Small Business Administration.
- State Justice Institute.
- Broadcasting Board of Governors.

SUBCOMMITTEE ON DEFENSE

JERRY LEWIS, California, *Chairman*

C. W. BILL YOUNG, Florida	JOHN P. MURTHA, Pennsylvania
JOE SKEEN, New Mexico	NORMAN D. DICKS, Washington
DAVID L. HOBSON, Ohio	MARTIN OLAV SABO, Minnesota
HENRY BONILLA, Texas	PETER J. VISCLOSKY, Indiana
GEORGE R. NETHERCUTT, JR., Washington	JAMES P. MORAN, Virginia
RANDY "DUKE" CUNNINGHAM, California	
RODNEY P. FRELINGHUYSEN, New Jersey	
TODD TIAHRT, Kansas	

JURISDICTION

DEPARTMENT OF DEFENSE—MILITARY:

Departments of Army, Navy (including Marine Corps), Air Force, Office of Secretary of Defense, and Defense Agencies (*Except* Military Construction).

Central Intelligence Agency.

Intelligence Community Staff.

SUBCOMMITTEE ON THE DISTRICT OF COLUMBIA

JOE KNOLLENBERG, Michigan, *Chairman*

ERNEST J. ISTOOK, JR., Oklahoma	CHAKA FATTAH, Pennsylvania
RANDY "DUKE" CUNNINGHAM, California	ALAN B. MOLLOHAN, West Virginia
JOHN T. DOOLITTLE, California	JOHN W. OLVER, Massachusetts
JOHN E. SWEENEY, New York	
DAVID VITTER, Louisiana	

JURISDICTION

DISTRICT OF COLUMBIA.

SUBCOMMITTEE ON ENERGY AND WATER DEVELOPMENT

SONNY CALLAHAN, *Alabama*

HAROLD ROGERS, Kentucky	PETER J. VISCLOSKY, Indiana
RODNEY P. FRELINGHUYSEN, New Jersey	CHET EDWARDS, Texas
TOM LATHAM, Iowa	ED PASTOR, Arizona
ROGER F. WICKER, Mississippi	JAMES E. CLYBURN, South Carolina
ZACH WAMP, Tennessee	LUCILLE ROYBAL-ALLARD, California
JO ANN EMERSON, Missouri	
JOHN T. DOOLITTLE, California	

JURISDICTION

DEPARTMENT OF ENERGY (*Except* the Economic Regulatory Administration; Energy Information Administration; Office of Hearings and Appeals; Strategic Petroleum Reserve; Naval Petroleum and Oil Shale Reserves; Fossil Energy Research and Development; Clean Coal Technology; Energy Conservation; Alternative Fuels Production and Related Matters).

DEPARTMENT OF DEFENSE—CIVIL: Department of the Army: Corps of Engineers—Civil.

DEPARTMENT OF THE INTERIOR: Bureau of Reclamation; Central Utah Project.

RELATED AGENCIES:

Appalachian Regional Commission.
 Defense Nuclear Facilities Safety Board.
 Delta Regional Authority.
 Nuclear Regulatory Commission.
 Nuclear Waste Technical Review Board.
 Tennessee Valley Authority.

SUBCOMMITTEE ON FOREIGN OPERATIONS, EXPORT FINANCING, AND
RELATED PROGRAMS

JIM KOLBE, Arizona, *Chairman*

SONNY CALLAHAN, Alabama	NITA M. LOWEY, New York
JOE KNOLLENBERG, Michigan	NANCY PELOSI, California
JACK KINGSTON, Georgia	JESSE L. JACKSON, JR., Illinois
JERRY LEWIS, California	CAROLYN C. KILPATRICK, Michigan
ROGER F. WICKER, Mississippi	STEVEN R. ROTHMAN, New Jersey
HENRY BONILLA, Texas	
JOHN E. SUNUNU, New Hampshire	

JURISDICTION

Agency for International Development.

DEPARTMENT OF DEFENSE:

Foreign Military Financing Program.

International Military Assistance and Training.

DEPARTMENT OF STATE:

International Narcotics Control and Law Enforcement.

International Organizations and Programs (voluntary contributions).

Migration and Refugee Assistance.

Nonproliferation, Anti-terrorism, Demining, and Related Programs.

Peacekeeping Operations (voluntary contributions).

U.S. Emergency Refugee and Migration Assistance Fund.

DEPARTMENT OF STATE AND AGENCY FOR INTERNATIONAL DEVELOPMENT

(SHARED):

Assistance for Eastern Europe and the Baltic States.

Assistance for the Independent States of the Former Soviet Union.

Economic Support Fund.

DEPARTMENT OF THE TREASURY:

Debt Restructuring.

International Affairs Technical Assistance.

International Monetary Fund.

Multilateral Development Banks.

Export-Import Bank.

Overseas Private Investment Corporation.

Peace Corps.

Trade and Development Agency.

RELATED ACTIVITIES:

African Development Foundation.

Inter-American Foundation.

SUBCOMMITTEE ON THE DEPARTMENT OF THE INTERIOR AND
RELATED AGENCIES

JOE SKEEN, New Mexico, *Chairman*

RALPH REGULA, Ohio	NORMAN D. DICKS, Washington
JIM KOLBE, Arizona	JOHN P. MURTHA, Pennsylvania
CHARLES H. TAYLOR, North Carolina	JAMES P. MORAN, Virginia
GEORGE R. NETHERCUTT, JR., Washington	MAURICE D. HINCHEY, New York
ZACH WAMP, Tennessee	MARTIN OLAV SABO, Minnesota
JACK KINGSTON, Georgia	
JOHN E. PETERSON, Pennsylvania	

JURISDICTION

DEPARTMENT OF THE INTERIOR (*Except* Bureau of Reclamation).

DEPARTMENT OF ENERGY: Economic Regulatory Administration; Energy Information Administration; Office of Hearings and Appeals; Elk Hills School Lands Fund; Strategic Petroleum Reserve; Naval Petroleum and Oil Shale Reserves; Fossil Energy Research and Development; Clean Coal Technology; Energy Conservation; Alternative Fuels Production and Related Matters; Alaska Gas Pipeline Authorities.

OTHER AGENCIES:

Advisory Council on Historic Preservation.

Commission of Fine Arts.

Forest Service (USDA).

Holocaust Memorial Council.

Indian Health Services and Facilities (HHS).

Institute of American Indian and Alaska Native Culture and Arts Development.

John F. Kennedy Center for the Performing Arts.

National Capital Planning Commission.

National Foundation on the Arts and the Humanities (*Except* Office of Library Services).

National Gallery of Art.

Navajo and Hopi Indian Relocation Commission.

Presidio Trust.

Smithsonian Institution.

Woodrow Wilson International Center for Scholars.

SUBCOMMITTEE ON THE DEPARTMENTS OF LABOR, HEALTH AND
HUMAN SERVICES, EDUCATION, AND RELATED AGENCIES

RALPH REGULA, Ohio, *Chairman*

C. W. BILL YOUNG, Florida	DAVID R. OBEY, Wisconsin
ERNEST J. ISTOOK, JR., Oklahoma	STENY H. HOYER, Maryland
DAN MILLER, Florida	NANCY PELOSI, California
ROGER F. WICKER, Mississippi	NITA M. LOWEY, New York
ANNE M. NORTHUP, Kentucky	ROSA L. DeLAURO, Connecticut
RANDY "DUKE" CUNNINGHAM, California	JESSE L. JACKSON, JR., Illinois
KAY GRANGER, Texas	PATRICK J. KENNEDY, Rhode Island
JOHN E. PETERSON, Pennsylvania	
DON SHERWOOD, Pennsylvania	

JURISDICTION

DEPARTMENT OF EDUCATION.

DEPARTMENT OF HEALTH AND HUMAN SERVICES (*Except* Food and Drug
Administration; Indian Health Services and Facilities).

DEPARTMENT OF LABOR.

RELATED AGENCIES:

Armed Forces Retirement Home.

Corporation for National and Community Service (VISTA and seniors
programs only).

Corporation for Public Broadcasting.

Federal Mediation and Conciliation Service.

Federal Mine Safety and Health Review Commission.

Institute of Museum and Library Services (*Except* Office of Museum
Services).

Medicare Payment Advisory Commission.

National Commission on Libraries and Information Science.

National Council on Disability.

National Education Goals Panel.

National Labor Relations Board.

National Mediation Board.

Occupational Safety and Health Review Commission.

Railroad Retirement Board.

Social Security Administration.

United States Institute of Peace.

SUBCOMMITTEE ON LEGISLATIVE BRANCH

CHARLES H. TAYLOR, North Carolina, *Chairman*

ZACH WAMP, Tennessee	JAMES P. MORAN, Virginia
JERRY LEWIS, California	STENY H. HOYER, Maryland
RAY LAHOOD, Illinois	MARCY KAPTUR, Ohio
DON SHERWOOD, Pennsylvania	

JURISDICTION

House of Representatives.
 Joint Items.
 Architect of the Capitol (*Except* Senate Items).
 Botanic Garden.
 Congressional Budget Office.
 General Accounting Office.
 Government Printing Office.
 John C. Stennis Center.
 Library of Congress, including:
 Congressional Research Service.
 Copyright Arbitration Royalty Panel.
 Copyright Office.
 National Film Preservation Board.
 United States Capitol Preservation Commission.

SUBCOMMITTEE ON MILITARY CONSTRUCTION

DAVID L. HOBSON, Ohio, *Chairman*

JAMES T. WALSH, New York	JOHN W. OLVER, Massachusetts
DAN MILLER, Florida	CHET EDWARDS, Texas
ROBERT B. ADERHOLT, Alabama	SAM FARR, California
KAY GRANGER, Texas	ALLEN BOYD, Florida
VIRGIL H. GOODE, JR., Virginia	NORMAN D. DICKS, Washington
JOE SKEEN, New Mexico	
DAVID VITTER, Louisiana	

JURISDICTION

Military Construction, Army, Navy (including Marine Corps), Air Force,
 and Defense Agencies, and Reserve Forces.
 Military Family Housing.
 DOD Base Closure Account.
 DOD Family Housing Improvement Fund.
 DOD Military Unaccompanied Housing Improvement Fund.
 Homeowners Assistance Fund.
 NATO Security Investment Program.

SUBCOMMITTEE ON THE DEPARTMENT OF TRANSPORTATION AND
RELATED AGENCIES

HAROLD ROGERS, Kentucky, *Chairman*

FRANK R. WOLF, Virginia	MARTIN OLAV SABO, Minnesota
TOM DeLAY, Texas	JOHN W. OLVER, Massachusetts
SONNY CALLAHAN, Alabama	ED PASTOR, Arizona
TODD TIAHRT, Kansas	CAROLYN C. KILPATRICK, Michigan
ROBERT B. ADERHOLT, Alabama	JOSE E. SERRANO, New York
KAY GRANGER, Texas	JAMES E. CLYBURN, South Carolina
JO ANN EMERSON, Missouri	
JOHN E. SWEENEY, New York	

JURISDICTION

DEPARTMENT OF TRANSPORTATION (*Except* Maritime Administration).

RELATED AGENCIES:

Architectural and Transportation Barriers Compliance Board.

National Transportation Safety Board.

Panama Canal Commission.

Washington Metropolitan Area Transit Authority.

SUBCOMMITTEE ON TREASURY, POSTAL SERVICE, AND GENERAL
GOVERNMENT

ERNEST J. ISTOOK, JR., Oklahoma, *Chairman*

FRANK R. WOLF, Virginia	STENY H. HOYER, Maryland
ANNE M. NORTHUP, Kentucky	CARRIE P. MEEK, Florida
JOHN E. SUNUNU, New Hampshire	DAVID E. PRICE, North Carolina
JOHN E. PETERSON, Pennsylvania	STEVEN R. ROTHMAN, New Jersey
TODD TIAHRT, Kansas	PETER J. VISCLOSKY, Indiana
JOHN E. SWEENEY, New York	
DON SHERWOOD, Pennsylvania	

JURISDICTION

TREASURY DEPARTMENT (*Except* Community Development Financial Institutions).

UNITED STATES POSTAL SERVICE.

Payment to the Postal Service Fund.

EXECUTIVE OFFICE OF THE PRESIDENT:

Armstrong Resolution.

Compensation of the President.

Council of Economic Advisers.

Executive Residence at the White House.

Federal Drug Control Programs.

High Intensity Drug Trafficking Areas Program.

Special Forfeiture Fund.

National Security Council.

Office of Administration.

Office of Management and Budget.

Office of National Drug Control Policy.

Office of Policy Development.

Official Residence of the Vice President.

Special Assistance to the President.

Unanticipated Needs.

White House Office.

INDEPENDENT AGENCIES:

Committee for Purchase From People Who Are Blind or Severely Disabled.

Federal Election Commission.

Federal Labor Relations Authority.

General Services Administration (*Except* Consumer Information Center).

Merit Systems Protection Board.

Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation.

Office of Special Counsel.

National Archives and Records Administration.

National Historical Publications and Records Commission.

Office of Government Ethics.

Office of Personnel Management and Related Trust Funds.

United States Tax Court.

GENERAL PROVISIONS, GOVERNMENTWIDE.

SUBCOMMITTEE ON THE DEPARTMENTS OF VETERANS AFFAIRS AND
HOUSING AND URBAN DEVELOPMENT, AND INDEPENDENT AGENCIES

JAMES T. WALSH, New York, *Chairman*

TOM DELAY, Texas	ALAN B. MOLLOHAN, West Virginia
DAVID L. HOBSON, Ohio	MARCY KAPTUR, Ohio
JOE KNOLLENBERG, Michigan	CARRIE P. MEEK, Florida
RODNEY P. FRELINGHUYSEN, New Jersey	DAVID E. PRICE, North Carolina
ANNE M. NORTHUP, Kentucky	ROBERT E. "BUD" CRAMER, JR., Alabama
JOHN E. SUNUNU, New Hampshire	CHAKA FATTAH, Pennsylvania
VIRGIL H. GOODE, JR., Virginia	
ROBERT B. ADERHOLT, Alabama	

JURISDICTION

DEPARTMENT OF VETERANS AFFAIRS.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.

INDEPENDENT AGENCIES:

Agency for Toxic Substances and Disease Registry (HHS, formerly EPA/
Superfund).

American Battle Monuments Commission.

Cemeterial Expenses, Army (DOD).

Chemical Safety and Hazard Investigation Board.

Community Development Financial Institutions (Treasury).

Federal Consumer Information Center (GSA).

Consumer Product Safety Commission.

Corporation for National and Community Service.

Council on Environmental Quality and Office of Environmental Quality.

Court of Appeals for Veterans Claims.

Environmental Protection Agency.

Federal Deposit Insurance Corporation, Office of Inspector General.

Federal Emergency Management Agency.

National Aeronautics and Space Administration.

National Credit Union Administration.

National Institute of Environmental Health Sciences (HHS, formerly
EPA/Superfund).

National Science Foundation.

Neighborhood Reinvestment Corporation.

Office of Science and Technology Policy.

Selective Service System.

APPENDIX D

Committee on Appropriations**COMMITTEE RULES**

(Adopted for the 107th Congress on February 28, 2001)

RESOLVED, That the rules and practices of the Committee on Appropriations, House of Representatives, in the One Hundred Sixth Congress, except as otherwise provided hereinafter, shall be and are hereby adopted as the rules and practices of the Committee on Appropriations in the One Hundred Seventh Congress.

The foregoing resolution adopts the following rules:

Sec. 1: Power to Sit and Act

For the purpose of carrying out any of its functions and duties under Rules X and XI of the Rules of the House of Representatives, the Committee or any of its subcommittees is authorized:

(a) To sit and act at such times and places within the United States whether the House is in session, has recessed, or has adjourned, and to hold such hearings; and

(b) To require, by subpoena or otherwise, the attendance and testimony of such witnesses and the production of such books, reports, correspondence, memorandums, papers, and documents as it deems necessary. The Chairman, or any Member designated by the Chairman, may administer oaths to any witness.

(c) A subpoena may be authorized and issued by the Committee or its subcommittees under subsection 1 (b) in the conduct of any investigation or activity or series of investigations or activities, only when authorized by a majority of the Members of the Committee voting, a majority being present. The power to authorize and issue subpoenas under subsection 1 (b) may be delegated to the Chairman pursuant to such rules and under such limitations as the Committee may prescribe. Authorized subpoenas shall be signed by the Chairman or by any Member designated by the Committee.

(d) Compliance with any subpoena issued by the Committee or its subcommittees may be enforced only as authorized or directed by the House.

Sec. 2: Subcommittees

(a) The Majority Caucus of the Committee shall establish the number of subcommittees and shall determine the jurisdiction of each subcommittee.

(b) Each subcommittee is authorized to meet, hold hearings, receive evidence, and report to the Committee all matters referred to it.

(c) All legislation and other matters referred to the Committee shall be referred to the subcommittee of appropriate jurisdiction within two weeks unless, by majority vote of the Majority Members of the full Committee, consideration is to be by the full Committee.

(d) The Majority Caucus of the Committee shall determine an appropriate ratio of Majority to Minority Members for each subcommittee. The Chairman is authorized to negotiate that ratio with the Minority; *Provided, however,* That party representation in each subcommittee, including ex-officio members, shall be no less favorable to the Majority than the ratio for the full Committee.

(e) The Chairman and Ranking Minority Member of the full Committee are authorized to sit as a member of all subcommittees and to participate, including voting, in all its work.

Sec. 3: Staffing

(a) **Committee Staff**—The Chairman is authorized to appoint the staff of the Committee, and make adjustments in the job titles and compensation thereof subject to the maximum rates and conditions established in Clause 9 (c) of Rule X of the Rules of the House of Representatives. In addition, he is authorized, in his discretion, to arrange for their specialized training. The Chairman is also authorized to employ additional personnel as necessary.

(b) **Assistants to Members**—Each of the top twenty-one senior majority and minority Members of the full Committee may select and designate one staff member who shall serve at the pleasure of that Member. Such staff members shall be compensated at a rate, determined by the Member, not to exceed 75 per centum of the maximum established in Clause 9 (c) of Rule X of the Rules of the House of Representatives; *Provided,* That Members designating staff members under this subsection must specifically certify by letter to the Chairman that the employees are needed and will be utilized for Committee work.

Sec. 4: Committee Meetings

(a) **Regular Meeting Day**—The regular meeting day of the Committee shall be the first Wednesday of each month while the House is in session, unless the Committee has met within the past 30 days or the Chairman considers a specific meeting unnecessary in the light of the requirements of the Committee business schedule.

(b) Additional and Special Meetings:

(1) The Chairman may call and convene, as he considers necessary, additional meetings of the Committee for the consideration of any bill or resolution pending before the Committee or for the conduct of other Committee business. The Committee shall meet for such purpose pursuant to that call of the Chairman.

(2) If at least three Committee Members desire that a special meeting of the Committee be called by the Chairman, those Members may file in the Committee Offices a written request to the Chairman for that special meeting. Such request shall specify the measure or matter to be considered. Upon the filing of the request, the Committee Clerk shall notify the Chairman.

(3) If within three calendar days after the filing of the request, the Chairman does not call the requested special meeting to be held within seven calendar days after the filing of the request, a majority of the Committee Members may file in the

Committee Offices their written notice that a special meeting will be held, specifying the date and hour of such meeting, and the measure or matter to be considered. The Committee shall meet on that date and hour.

(4) Immediately upon the filing of the notice, the Committee Clerk shall notify all Committee Members that such special meeting will be held and inform them of its date and hour and the measure or matter to be considered. Only the measure or matter specified in that notice may be considered at the special meeting.

(c) **Vice Chairman To Preside in Absence of Chairman**—A member of the majority party on the Committee or subcommittee thereof designated by the Chairman of the full Committee shall be vice chairman of the Committee or subcommittee, as the case may be, and shall preside at any meeting during the temporary absence of the chairman. If the chairman and vice chairman of the Committee or subcommittee are not present at any meeting of the Committee or subcommittee, the ranking member of the majority party who is present shall preside at that meeting.

(d) **Business Meetings:**

(1) Each meeting for the transaction of business, including the markup of legislation, of the Committee and its subcommittees shall be open to the public except when the Committee or its subcommittees, in open session and with a majority present, determines by roll call vote that all or part of the remainder of the meeting on that day shall be closed.

(2) No person other than Committee Members and such congressional staff and departmental representatives as they may authorize shall be present at any business or markup session which has been closed.

(e) **Committee Records:**

(1) The Committee shall keep a complete record of all Committee action, including a record of the votes on any question on which a roll call is demanded. The result of each roll call vote shall be available for inspection by the public during regular business hours in the Committee Offices. The information made available for public inspection shall include a description of the amendment, motion, or other proposition, and the name of each Member voting for and each Member voting against, and the names of those Members present but not voting.

(2) All hearings, records, data, charts, and files of the Committee shall be kept separate and distinct from the congressional office records of the Chairman of the Committee. Such records shall be the property of the House, and all Members of the House shall have access thereto.

(3) The records of the Committee at the National Archives and Records Administration shall be made available in accordance with Rule VII of the Rules of the House, except that the Committee authorizes use of any record to which Clause 3(b)(4) of Rule VII of the Rules of the House would otherwise apply after such record has been in existence for 20 years. The Chairman shall notify the Ranking Minority Member of any decision, pursuant to Clause 3 (b)(3) or Clause 4 (b) of Rule VII

of the Rules of the House, to withhold a record otherwise available, and the matter shall be presented to the Committee for a determination upon the written request of any Member of the Committee.

Sec. 5: Committee and Subcommittee Hearings

(a) **Overall Budget Hearings**—Overall budget hearings by the Committee, including the hearing required by Section 242 (c) of the Legislative Reorganization Act of 1970 and Clause 4 (a)(1) of Rule X of the Rules of the House of Representatives shall be conducted in open session except when the Committee in open session and with a majority present, determines by roll call vote that the testimony to be taken at that hearing on that day may be related to a matter of national security; except that the Committee may by the same procedure close one subsequent day of hearing. A transcript of all such hearings shall be printed and a copy furnished to each Member, Delegate, and the Resident Commissioner from Puerto Rico.

(b) **Other Hearings:**

(1) All other hearings conducted by the Committee or its subcommittees shall be open to the public except when the Committee or subcommittee in open session and with a majority present determines by roll call vote that all or part of the remainder of that hearing on that day shall be closed to the public because disclosure of testimony, evidence, or other matters to be considered would endanger the national security or would violate any law or Rule of the House of Representatives. Notwithstanding the requirements of the preceding sentence, a majority of those present at a hearing conducted by the Committee or any of its subcommittees, there being in attendance the number required under Section 5 (c) of these Rules to be present for the purpose of taking testimony, (1) may vote to close the hearing for the sole purpose of discussing whether testimony or evidence to be received would endanger the national security or violate Clause 2 (k)(5) of Rule XI of the Rules of the House of Representatives or (2) may vote to close the hearing, as provided in Clause 2 (k)(5) of such Rule. No Member of the House of Representatives may be excluded from nonparticipatory attendance at any hearing of the Committee or its subcommittees unless the House of Representatives shall by majority vote authorize the Committee or any of its subcommittees, for purposes of a particular series of hearings on a particular article of legislation or on a particular subject of investigation, to close its hearings to Members by the same procedures designated in this subsection for closing hearings to the public; *Provided, however*, That the Committee or its subcommittees may by the same procedure vote to close five subsequent days of hearings.

(2) Subcommittee chairmen shall coordinate the development of schedules for meetings or hearings after consultation with the Chairman and other subcommittee chairmen with a view toward avoiding simultaneous scheduling of Committee and subcommittee meetings or hearings.

(3) Each witness who is to appear before the Committee or any of its subcommittees as the case may be, insofar as is practicable, shall file in advance of such appearance, a written statement of the proposed testimony and shall limit the oral presentation at such appearance to a brief summary, except that this provision shall not apply to any witness appearing before the Committee in the overall budget hearings.

(4) Each witness appearing in a nongovernmental capacity before the Committee, or any of its subcommittees as the case may be, shall to the greatest extent practicable, submit a written statement including a curriculum vitae and a disclosure of the amount and source (by agency and program) of any Federal grant (or subgrant thereof) or contract (or subcontract thereof) received during the current fiscal year or either of the two previous fiscal years by the witness or by an entity represented by the witness.

(c) **Quorum for Taking Testimony**—The number of Members of the Committee which shall constitute a quorum for taking testimony and receiving evidence in any hearing of the Committee shall be two.

(d) **Calling and Interrogation of Witnesses:**

(1) The Minority Members of the Committee or its subcommittees shall be entitled, upon request to the Chairman or subcommittee chairman, by a majority of them before completion of any hearing, to call witnesses selected by the Minority to testify with respect to the matter under consideration during at least one day of hearings thereon.

(2) The Committee and its subcommittees shall observe the five-minute rule during the interrogation of witnesses until such time as each Member of the Committee or subcommittee who so desires has had an opportunity to question the witness.

(e) **Broadcasting and Photographing of Committee Meetings and Hearings**—Whenever a hearing or meeting conducted by the full Committee or any of its subcommittees is open to the public, those proceedings shall be open to coverage by television, radio, and still photography, as provided in Clause (4)(f) of Rule XI of the Rules of the House of Representatives. Neither the full Committee Chairman or Subcommittee Chairman shall limit the number of television or still cameras to fewer than two representatives from each medium.

(f) **Subcommittee Meetings**—No subcommittee shall sit while the House is reading an appropriation measure for amendment under the five-minute rule or while the Committee is in session.

(g) **Public Notice of Committee Hearings**—The Chairman of the Committee shall make public announcement of the date, place, and subject matter of any Committee or subcommittee hearing at least one week before the commencement of the hearing. If the Chairman of the Committee or subcommittee, with the concurrence of the ranking minority member of the Committee or respective subcommittee, determines there is good cause to begin the hearing sooner, or if the Committee or subcommittee so determines by majority vote, a quorum being present for the transaction of business, the Chairman or subcommittee chairman shall make the announcement at the earliest possible date. Any announcement made under

this subparagraph shall be promptly published in the Daily Digest and promptly entered into the Committee scheduling service of the House Information Systems.

Sec. 6: Procedures for Reporting Bills and Resolutions

(a) Prompt Reporting Requirement:

(1) It shall be the duty of the Chairman to report, or cause to be reported promptly to the House any bill or resolution approved by the Committee and to take or cause to be taken necessary steps to bring the matter to a vote.

(2) In any event, a report on a bill or resolution which the Committee has approved shall be filed within seven calendar days (exclusive of days in which the House is not in session) after the day on which there has been filed with the Committee Clerk a written request, signed by a majority of Committee Members, for the reporting of such bill or resolution. Upon the filing of any such request, the Committee Clerk shall notify the Chairman immediately of the filing of the request. This subsection does not apply to the reporting of a regular appropriation bill or to the reporting of a resolution of inquiry addressed to the head of an executive department.

(b) **Presence of Committee Majority**—No measure or recommendation shall be reported from the Committee unless a majority of the Committee was actually present.

(c) **Roll Call Votes**—With respect to each roll call vote on a motion to report any measure or matter of a public character, and on any amendment offered to the measure of matter, the total number of votes cast for and against, and the names of those Members voting for and against, shall be included in the Committee report on the measure or matter.

(d) **Compliance With Congressional Budget Act**—A Committee report on a bill or resolution which has been approved by the Committee shall include the statement required by Section 308(a) of the Congressional Budget Act of 1974, separately set out and clearly identified, if the bill or resolution provides new budget authority.

(e) **Constitutional Authority Statement**—Each report of the committee on a bill or joint resolution of a public character shall include a statement citing the specific powers granted to the Congress in the Constitution to enact the law proposed by the bill or joint resolution.

(f) **Changes in Existing Law**—Each Committee report on a general appropriation bill shall contain a concise statement describing fully the effect of any provision of the bill which directly or indirectly changes the application of existing law.

(g) **Rescissions and Transfers**—Each bill or resolution reported by the Committee shall include separate headings for rescissions and transfers of unexpended balances with all proposed rescissions and transfers listed therein. The report of the Committee accompanying such a bill or resolution shall include a separate section with respect to such rescissions or transfers.

(h) **Listing of Unauthorized Appropriations**—Each Committee report on a general appropriations bill shall contain a list of all appropriations contained in the bill for any expenditure not

previously authorized by law (except for classified intelligence or national security programs, projects, or activities) along with a statement of the last year for which such expenditures were authorized, the level of expenditures authorized for that year, the actual level of expenditures for that year, and the level of appropriations in the bill for such expenditures.

(i) **Supplemental or Minority Views:**

(1) If, at the time the Committee approves any measure or matter, any Committee Member gives notice of intention to file supplemental, minority, or additional views, the Member shall be entitled to not less than two additional calendar days after the day of such notice (excluding Saturdays, Sundays, and legal holidays) in which to file such views in writing and signed by the Member, with the Clerk of the Committee. All such views so filed shall be included in and shall be a part of the report filed by the Committee with respect to that measure or matter.

(2) The Committee report on that measure or matter shall be printed in a single volume which—

(i) shall include all supplemental, minority, or additional views which have been submitted by the time of the filing of the report, and

(ii) shall have on its cover a recital that any such supplemental, minority, or additional views are included as part of the report.

(3) Subsection (i)(1) of this section, above, does not preclude—

(i) the immediate filing or printing of a Committee report unless timely request for the opportunity to file supplemental, minority, or additional views has been made as provided by such subsection; or

(ii) the filing by the Committee of a supplemental report on a measure or matter which may be required for correction of any technical error in a previous report made by the Committee on that measure or matter.

(4) If, at the time a subcommittee approves any measure or matter for recommendation to the full Committee, any Member of that subcommittee who gives notice of intention to offer supplemental, minority, or additional views shall be entitled, insofar as is practicable and in accordance with the printing requirements as determined by the subcommittee, to include such views in the Committee Print with respect to that measure or matter.

(j) **Availability of Reports**—A copy of each bill, resolution, or report shall be made available to each Member of the Committee at least three calendar days (excluding Saturdays, Sundays, and legal holidays) in advance of the date on which the Committee is to consider each bill, resolution, or report; *Provided*, That this subsection may be waived by agreement between the Chairman and the Ranking Minority Member of the full Committee.

(k) **Performance Goals and Objectives**—Each Committee report shall contain a statement of general performance goals and objectives, including outcome-related goals and objectives, for which the measure authorizes funding.

Sec. 7: Voting

(a) No vote by any Member of the Committee or any of its subcommittees with respect to any measure or matter may be cast by proxy.

(b) The vote on any question before the Committee shall be taken by the yeas and nays on the demand of one-fifth of the Members present.

Sec. 8: Studies and Examinations

The following procedure shall be applicable with respect to the conduct of studies and examinations of the organization and operation of Executive Agencies under authority contained in Section 202(b) of the Legislative Reorganization Act of 1946 and in Clause (3)(a) of Rule X, of the Rules of the House of Representatives:

(a) The Chairman is authorized to appoint such staff and, in his discretion, arrange for the procurement of temporary services of consultants, as from time to time may be required.

(b) Studies and examinations will be initiated upon the written request of a subcommittee which shall be reasonably specific and definite in character, and shall be initiated only by a majority vote of the subcommittee, with the chairman of the subcommittee and the ranking minority member thereof participating as part of such majority vote. When so initiated such request shall be filed with the Clerk of the Committee for submission to the Chairman and the Ranking Minority Member and their approval shall be required to make the same effective. Notwithstanding any action taken on such request by the chairman and ranking minority member of the subcommittee, a request may be approved by a majority of the Committee.

(c) Any request approved as provided under subsection (b) shall be immediately turned over to the staff appointed for action.

(d) Any information obtained by such staff shall be reported to the chairman of the subcommittee requesting such study and examination and to the Chairman and Ranking Minority Member, shall be made available to the members of the subcommittee concerned, and shall not be released for publication until the subcommittee so determines.

(e) Any hearings or investigations which may be desired, aside from the regular hearings on appropriation items, when approved by the Committee, shall be conducted by the subcommittee having jurisdiction over the matter.

Sec. 9: Official Travel

(a) The chairman of a subcommittee shall approve requests for travel by subcommittee members and staff for official business within the jurisdiction of that subcommittee. The ranking minority member of a subcommittee shall concur in such travel requests by minority members of that subcommittee and the Ranking Minority Member shall concur in such travel requests for Minority Members of the Committee. Requests in writing covering the purpose, itinerary, and dates of proposed travel shall be submitted for final

approval to the Chairman. Specific approval shall be required for each and every trip.

(b) The Chairman is authorized during the recess of the Congress to approve travel authorizations for Committee Members and staff, including travel outside the United States.

(c) As soon as practicable, the Chairman shall direct the head of each Government agency concerned not to honor requests of subcommittees, individual Members, or staff for travel, the direct or indirect expenses of which are to be defrayed from an executive appropriation, except upon request from the Chairman.

(d) In accordance with Clause 8 of Rule X of the Rules of the House of Representatives and Section 502 (b) of the Mutual Security Act of 1954, as amended, local currencies owned by the United States shall be available to Committee Members and staff engaged in carrying out their official duties outside the United States, its territories, or possessions. No Committee Member or staff member shall receive or expend local currencies for subsistence in any country at a rate in excess of the maximum per diem rate set forth in applicable Federal law.

(e) Travel Reports:

(1) Members or staff shall make a report to the Chairman on their travel, covering the purpose, results, itinerary, expenses, and other pertinent comments.

(2) With respect to travel outside the United States or its territories or possessions, the report shall include: (1) an itemized list showing the dates each country was visited, the amount of per diem furnished, the cost of transportation furnished, and any funds expended for any other official purpose; and (2) a summary in these categories of the total foreign currencies and/or appropriated funds expended. All such individual reports on foreign travel shall be filed with the Chairman no later than sixty days following completion of the travel for use in complying with reporting requirements in applicable Federal law, and shall be open for public inspection.

(3) Each Member or employee performing such travel shall be solely responsible for supporting the amounts reported by the Member or employee.

(4) No report or statement as to any trip shall be publicized making any recommendations in behalf of the Committee without the authorization of a majority of the Committee.

(f) Members and staff of the Committee performing authorized travel on official business pertaining to the jurisdiction of the Committee shall be governed by applicable laws or regulations of the House and of the Committee on House Administration pertaining to such travel, and as promulgated from time to time by the Chairman.